

1642

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/606,910	
	Filing Date	June 29, 2000	
	First Named Inventor	Uwe Karsten	
	Art Unit	1642	
	Examiner Name	Stephen L. Rawlings	
Total Number of Pages in This Submission	5	Attorney Docket Number	050185

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Status Letter
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<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Statement Under 37 CFR 3.73(b)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	Postcard
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	Remarks Kindly mark the postcard with the date of receipt and return it.	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Buchanan Ingersoll PC		
Signature			
Printed name	Duane A. Stewart III		
Date	February 28, 2005	Reg. No.	54,468

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Ellie Pingree	Date	February 28, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Buchanan Ingersoll PC

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February 28, 2005

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Re: United States Patent Application No. 09/606,910
Filing Date: June 29, 2000
Title: "Tumor Vaccines for MUC1 Positive Carcinomas"
Inventors: Karsten, et al.
Examiner: Stephen L. Rawlings
Docket No.: 050185

Dear Sir:

Please file the enclosed Revocation of Power of Attorney with new Power of Attorney and Change of Correspondence Address in the above-referenced Patent Application. The new correspondence address is:

Duane A. Stewart III, Esq.
Buchanan Ingersoll PC
One Oxford Centre
301 Grant Street, 20th Floor
Pittsburgh, PA 15219

The practitioners associated with customer number 23464 have been appointed with Power of Attorney for this Application.

Please send a status report on this Application as soon as possible, noting particularly the existence of any outstanding Office Actions or other items requiring response.

Respectfully submitted,

Duane A. Stewart III
Reg. No. 54,468
BUCHANAN INGERSOLL PC
One Oxford Centre
301 Grant Street, 20th Floor
Pittsburgh, PA 15219
Telephone: (412) 562-1622
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DASIII/emp
Enclosures

Pennsylvania :: New York :: Washington, DC :: Florida :: New Jersey :: Delaware :: California

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February 28, 2005

Commissioner for Patents
P.O. Box 1450
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Re: United States Patent Application No. 09/606,910
Filing Date: June 29, 2000
Title: "Tumor Vaccines for MUC1 Positive Carcinomas"
Inventors: Karsten, et al.
Examiner: Stephen L. Rawlings
Docket No.: 050185

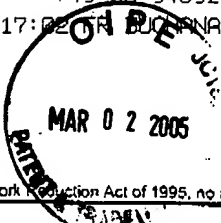
Dear Sir:

Please send a status report on this Application as soon as possible, noting particularly the existence of any outstanding Office Actions or other items requiring response.

Respectfully submitted,

Duane A. Stewart III
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Enclosures



PTO/SB/96 (09-04)

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Uwe Harsten, Franz-Georg Hanisch, and Hans Paulsen

Application No./Patent No.: 09/606,910 Filed/Issue Date: 29 June 2000

Entitled: Tumor Vaccines for MUC1 Positive Carcinomas

Max-Delbrück-Centrum für Molekulare Medizin, a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011220, Frame 0953, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature
F. Baumbach

Printed or Typed Name
F. Baumbach, Dr.

Title

28. Feb 2005

Date

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/82 (09-04)

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**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	09/606,910
Filing Date	06/29/2000
First Named Inventor	Uwe Karsten
Art Unit	1642
Examiner Name	Stephen L. Rawlings
Attorney Docket Number	Pending

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 23464

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

23464

OR

<input type="checkbox"/> Firm or Individual Name	Duane A. Stewart III, Esq.				
Address	Buchanan Ingersoll PC One Oxford Centre 301 Grant Street, 20th Floor				
City	Pittsburgh	State	PA	Zip	15219
Country	USA				
Telephone	412-562-1622		Fax	412-562-1041	

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature	<i>F. Baumbach</i>		
Name	Dr. F. Baumbach		
Date	28. Feb. 2005	Telephone	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.